Key signaling pathways in thyroid cancer

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Abstract

Whole genome sequencing approaches have provided unprecedented insights into the genetic lesions responsible for the onset, progression and dedifferentiation of various types of thyroid carcinomas. Through these efforts, the MAPK and PI3K signaling cascades have emerged as the main activation pathways implicated in thyroid tumorigenesis. The nature of these essential pathways is highly complex, with hundreds of components, multiple points of crosstalk, different subcellular localizations and with the ability to potentially regulate many cellular processes. Small-molecule inhibitors targeting key kinases of these pathways hold great promise as novel therapeutics and several have reached clinical trials. However, while some remarkable responses have been reported, the development of resistance remains a matter of concern and limits the benefit for patients. In this review, we discuss the latest findings on the major components of the MAPK and PI3K pathways, including their mechanisms of activation in physiological and pathological contexts, their genetic alterations with respect to the different types of thyroid carcinomas and the more relevant drugs designed to block their activity.

Introduction

Thyroid cancer remains the most common endocrine malignancy worldwide and its incidence and mortality has increased steadily over the last four decades. Improvements in diagnostic techniques that allow a more efficient detection of small tumors may account in part for this increase, although a true rise in the occurrence of thyroid cancer is suggested by the findings that the number of tumors of larger size and that are detectable by palpation has also risen during this period (Lim et al. 2017). Thyroid carcinomas develop from two different cell types in the thyroid gland: follicular cells and parafollicular (C) cells. Follicular cells are epithelial cells that are responsible for iodine uptake and thyroid hormone synthesis (Colin et al. 2013), and from which arise >90% of thyroid-derived carcinomas. Parafollicular (C) cells produce and secrete the hormone calcitonin and generate 3–5% of thyroid-derived carcinomas, collectively known as medullary thyroid carcinomas (MTC), which are predominantly driven by activating mutations in the RET (rearranged during transfection) tyrosine kinase receptor (Cote et al. 2015).

Carcinomas derived from thyroid follicular cells can be subdivided into four main different types based on histological architecture and cellular morphology. Thyroid carcinomas that retain differentiated properties are termed well-differentiated thyroid carcinomas (WDTC) and include two main subtypes: papillary thyroid carcinoma (PTC) and follicular thyroid carcinoma (FTC). The two other main types are poorly differentiated thyroid carcinoma (PDTC) and anaplastic thyroid carcinoma.

Key Words

- MAPK
- PI3K
- thyroid
- cancer
- signaling
(ATC), which constitute the most rare and aggressive forms of thyroid cancer. Although some evidence supports ATC arising de novo, it is generally accepted that it derives from accumulating mutations in pre-existing WDTC, with PDTC likely being an intermediate state in its progression (Wiseman et al. 2003a,b, Landa et al. 2016).

PTC is the most frequent form of differentiated thyroid carcinoma and accounts for 80–85% of all thyroid carcinomas. PTC can be classified into several variants, among the common of which are the classic variant (CV-PTC), the follicular variant (FV-PTC) and the tall cell variant (TCV-PTC). PTC is mostly related to mutations that activate the MAPK (mitogen-activated protein kinase) signaling pathway, such as RET, NTRK (neurotrophic receptor tyrosine kinase) and ALK (anaplastic lymphoma kinase) gene rearrangements or RAS (rat sarcoma) and BRAF (rapidly accelerated fibrosarcoma type-B) activating point mutations, which are almost always found in an exclusive manner, suggesting that oncogenic activation of one member of the pathway is sufficient to drive transformation (Kimura et al. 2003, Soares et al. 2003, Frattini et al. 2004). FV-PTC shares characteristics with PTC and FTC and is thought to be associated with both the activation of MAPK and PI3K (phosphatidylinositol 3-kinase) (Santarpia et al. 2010). PTC presents a low frequency of somatic mutations and has a good prognosis, with a 5-year overall survival of about 95% (Hay et al. 2002). However, a subset of PTC correlates with tumor recurrence, local spreading and lymph node metastases and presents a worse prognosis.

FTC represents 10–15% of all the thyroid carcinomas. The main drivers of FTC are RAS mutations and PAX8/PPARγ rearrangements. While RAS proteins can activate both the MAPK and PI3K pathways, the oncogenic variants of RAS have a lower flux through the former as compared with BRAFV600E mutations found in PTC and are likely more dependent on PI3K activation to initiate tumorigenesis. FTC also presents a good prognosis with a 5-year overall survival of 91%, although in the worst cases it courses with hematological spread and bone and lung metastases and presents a bad prognosis.

PDTC and ATC are rare and very aggressive thyroid carcinomas with a frequency of 6% and 1–2%, respectively and have a dismal outcome with a respective mean survival time of 3.2 and 0.5 years from the time of diagnosis and with no available treatment options, especially for ATC. Mutations that activate both MAPK and PI3K signaling pathways can be detected in PDTC and ATC, along with mutations in genes that confer aggressiveness and increase the mutational burden in these tumors. These include TP53 and EFLAX and also epigenetic modifiers or mutations in the TERT gene promoter. Loss of the expression of genes related to iodine transport and organification, including the sodium/iodide symporter (NIS) gene, also occurs frequently in these tumors and has a negative impact on prognosis, since the tumors can no longer accumulate iodide and therefore do not respond to radioactive iodine therapy. A summary of the characteristic features of the most common thyroid carcinomas is shown in Fig. 1.

In this review, we describe the main signaling pathways and driver oncogenes related to thyroid tumorigenesis, focusing on the MAPK and PI3K pathways, whose relevance as drivers of oncogenesis in the thyroid gland are clearly established.
**The MAPK pathway**

The MAPK pathway is a conserved signal-transduction pathway that utilizes a series of protein kinases to transmit signals from the cell membrane to the nucleus. It controls a wide variety of essential cellular processes including proliferation, differentiation, motility and apoptosis. Hyperactivation of the MAPK pathway is implicated in various pathologies, including neurodegenerative and developmental diseases, diabetes and cancer (Krishna & Narang 2008).

Activation of MAPK signaling is regulated by a central three-tiered kinase core comprising a MAPK kinase kinase, which activates a MAPK kinase that in turn activates a MAPK (such as JNK, ERK and p38). Pathway activation is triggered when external stimuli (e.g., growth factors and mitogens) bind to plasma membrane receptors, principally activated tyrosine kinase receptors, inducing receptor dimerization and autophosphorylation of residues that are recognized by adaptor proteins such as SHC1 (src homology 2 domain-containing transforming protein 1) and GRB2 (growth factor receptor-bound protein 2). In turn, adaptor proteins recruit guanine triphosphate (GTP) hydrolyzing enzyme (GTPase) exchange factors (GEFs) such as SOS (son of sevenless), to promote guanine di-phosphate (GDP) to GTP exchange on RAS proteins. Once activated, RAS recruits RAF proteins to the plasma membrane for activation, which induces the sequential activation of MEK and ERK. Up to two hundred different effectors are activated by ERK in various cell compartments, such as cytoplasm, mitochondria, Golgi, endoplasmic reticulum and particularly the nucleus where it activates a suite of transcription factors mainly related to proliferation including c-FOS, c-JUN, c-MYC or ELK1. MAPK pathway activation is also controlled at multiple levels by positive and negative feedback regulation that modulates the duration and amplitude of the signals and also by different adaptor proteins that determine the subcellular localization of the three-tiered kinase core (Shaul & Seger 2007). A representation of the MAPK pathway is depicted in Fig. 2.

Mutations in different components of the MAPK pathway have been found in more than half of all human cancers. Thyroid carcinoma is predominantly a MAPK-driven cancer and ~70% of thyroid carcinomas are caused by mutations that activate this pathway. The primary mutations that give rise to thyroid carcinoma and are therefore considered driver mutations are BRAF and RAS point mutations and RET/PTC rearrangements, which appear in an exclusive manner, reflecting the dependence of thyroid tumors on MAPK activation.

An increased understanding of the molecular mechanisms leading to the establishment and progression of thyroid tumors in the last years has guided the development and use of small kinase inhibitors against the major protein components of this signaling cascade. Some of these drugs have reached the clinic, and while the results obtained have been remarkable, they unfortunately rarely provide a durable response due to the emergence of resistance mechanisms that promote the recurrence of malignancies.

**Tyrosine kinase receptor rearrangements**

Rearrangements of different tyrosine kinase receptors that lead to constitutive activation of the MAPK pathway have been associated with the development of thyroid carcinoma. The most frequent and well characterized...
of these are translocations of RET/PTC, although NTRK and ALK rearrangements have also been found at a lower prevalence. While these genetic events have the potential to activate other pathways such as the PI3K-AKT axis, their oncogenic potential in the thyroid has been mostly related to the activation of the MAPK pathway. Aberrant signaling induced by translocations of the receptors is represented in Fig. 3.

**RET/PTC rearrangements** The RET receptor is a transmembrane tyrosine kinase receptor activated by members of the glial cell line-derived neurotrophic factor (GDNF) family, and it is required for the development, maturation and maintenance of different tissues such as kidney, nervous system or spermatogonial stem cells (Mulligan 2014). RET is also expressed in neural and neuroendocrine cell lineages, such as thyroid C cells.

Upon binding of ligands, RET dimerizes and undergoes autophosphorylation on intracellular tyrosine residues, allowing the recruitment of adaptor proteins and the activation of downstream pathways such as MAPK and PI3K, among others (Arighi et al. 2005). More than a dozen different RET/PTC rearrangements have been found to be associated with thyroid cancer, the most frequent of which are RET/PTC1 and RET/PTC3, which represent 90% of the total number of known rearrangements. RET/PTC1 and RET/PTC3 are formed by fusion of the 3’ terminal region of the RET gene to the 5’ terminal region of CCDC6 (coiled-coil domain containing gene 6) (Grieco et al. 1990) or NcoA4 (nuclear receptor co-activator gene 4) (Santoro et al. 1994) genes, respectively. The resultant chimeric proteins are thus formed by the C-terminal and kinase domains of RET and an N-terminal region from the partner gene, which provides a dimerization motif, rendering the protein constitutively active. RET/PTC translocations are more frequent in children and young adults (Nikiforov et al. 1997, Elisei et al. 2001) and have been associated with radiation exposure that triggers DNA double-strand breaks and RET gene illegitimate recombination with genes that are in close proximity during mitotic interphase (Nikiforova et al. 2000, Gandhi et al. 2010).

RET fusions are mainly associated with PTC (Nikiforov 2002), but can also be found in thyroid adenomas (Ishizaka et al. 1991), Hashimoto’s thyroiditis (Wirtschafter et al. 1997) and other non-neoplastic lesions (Sapio et al. 2011). The high prevalence of RET/PTC in subclinical papillary microcarcinomas (Viglietto et al. 1995) and the exclusivity pattern with regards to other frequently mutated genes of the pathway strongly suggest that RET fusion is an early event during the onset of thyroid tumors. In addition, RET/PTC1 (Jhiang et al. 1996, Santoro et al. 1996) or RET/PTC3 (Powell et al. 1998) expression in the thyroid of transgenic mice generates PTC-like neoplasms. The association of RET/PTC translocations with an aggressive phenotype is unclear. Expression of RET/PTC1 and RET/PTC3 in the rat thyroid cell line PCC13 has been shown to decrease the expression of thyroid differentiation markers, such as the thyrotropin receptor (TSH-R), thyroperoxidase (TPO), NIS and thyroglobulin (TG) (Santoro et al. 1993, Trapasso et al. 1999, Wang et al. 2003). Dedifferentiation was shown to be mediated by the RAS-ERK pathway and to be dependent on the Y1062 residue in RET
(Knauf et al. 2003). Chronic (Santoro et al. 1993) but not acute (Wang et al. 2003) expression of RET/PTC in PCCI3 cells supports growth in the absence of thyroid-stimulating hormone, while induction of apoptosis by oncogene expression was observed in both experimental approaches (Castellone et al. 2003, Wang et al. 2003). Conversely, human PTC harboring RET/PTC translocations showed no differences in the expression of thyroid differentiation markers when compared with matched healthy tissue (Romei et al. 2008). While RET fusions have not been related to progression to PDTC or ATC, in a recent study using next-generation sequencing of 341 cancer genes from 117 patient-derived PDTCs and ATCs, RET/PTC fusions were found in 14% of PDTC, but were completely absent in ATCs (Landa et al. 2016).

No specific RET inhibitor is currently available; however, several small-molecule tyrosine kinase inhibitors (TKIs) developed to target other tyrosine kinase receptors, such as vandetanib (Carlomagno et al. 2002), lenvatinib (Okamoto et al. 2013) or sorafenib (Carlomagno et al. 2006), have been found to effectively inhibit RET in cultured cells and in xenograft models. As RET/PTC fusions are uncommon in advanced thyroid cancer, the effectiveness of drugs targeting RET/PTC in clinical trials is yet to be assessed.

**NTRK rearrangements** The NTRKs are members of the neurotrophin receptor family of receptor tyrosine kinases that are activated by different neurotrophic factors including NGF (nerve growth factor). Upon ligand binding, NTRKs dimerize and autophosphorylate, leading to the recruitment of adaptor and signaling proteins and the activation of downstream pathways including MAPK and PI3K. NTRKs play an essential role in the development and the maturation of the central and peripheral nervous systems through regulation of proliferation, differentiation and survival of sympathetic and nervous neurons (Kaplan & Miller 2000).

Rearrangement of NTRK1 with different partner genes such as TPM3 (Butti et al. 1995), TPR (Greco et al. 1992) or TFG (Greco et al. 1995), which encode proteins containing coiled-coil domains, generates NTRK1 fusion proteins with constitutive tyrosine kinase activity. NTRK3 gene rearrangements with ETV6 and RBPMS have also been found in PTC (Cancer Genome Atlas Research Network 2014, Leeman-Neill et al. 2014). The Cancer Genome Atlas (TCGA) network reported a low incidence of NTRK rearrangements (1.2%) in sporadic PTC (Cancer Genome Atlas Research Network 2014). Similar to what is found with RET/PTC fusions, the incidence of NTRK3 rearrangements is high in radiation-exposed populations (Leeman-Neill et al. 2014), and the predisposition of thyroid cells to NTRK rearrangements has also been rationalized by the proximity of fusion partner genes during interphase (Roccato et al. 2005). Expression of the TPM3/NTRK1 fusion in PCCI3 cells blocks iodine uptake and thyroperoxidase expression, although fails to confer a transformed phenotype (Santoro et al. 1993). The role of NTRK gene fusions as drivers of thyroid tumorigenesis is supported by the finding of thyroid hyperplasia and thyroid carcinomas in transgenic mice expressing TPR/NTRK1 under the control of the thyroglobulin promoter (Russell et al. 2000).

NTRK gene fusions have emerged as thyroid cancer targets and different drugs have been developed that can effectively inhibit NTRK activity across several tumor histologies (Amatu et al. 2016). In a recent case study, a partial response to the pan-TRK inhibitor entrectinib was reported in a patient with metastatic colorectal carcinoma harboring a NTRK rearrangement (Sartore-Bianchi et al. 2016). Several clinical basket trials targeting carcinomas with NTRK fusions are ongoing, some of which include patients with NTRK translocations and advance thyroid carcinoma.

**ALK rearrangements** ALK is a tyrosine kinase receptor that was originally identified as part of a novel chimeric protein in ALCL (anaplastic large cell lymphoma) cell lines (Morris et al. 1994). Based on overall homology, ALK is grouped within the insulin receptor superfamily (Iwahara et al. 1997, Morris et al. 1997). Results from analysis of ALK expression during mouse embryogenesis (Vernesson et al. 2006) and in different *in vitro* studies (Souttou et al. 2001, Motegi et al. 2004) point to a role for ALK in neuronal development. Its mechanism of activation is similar to that of other tyrosine kinase receptors and, upon ligand binding, ALK dimerizes and undergoes autophosphorylation, which activates downstream pathways including RAS-MAPK (Souttou et al. 2001), PI3K-AKT (Bai et al. 2000) and JAK/STAT3 (Chiarle et al. 2005). ALK rearrangements have been detected in different tumor types such as ALCL (Morris et al. 1994), NSCLC (non-small-cell lung cancer) (Soda et al. 2007) and IMT (inflammatory myofibroblastic tumor) (Griffin et al. 1999), among others. Activating point mutations and increased copy number are also frequently found in several human malignancies. Interestingly, some
of these mutations have been related to resistance to ALK-specific inhibitors (Choi et al. 2010, Ou et al. 2014).

ALK rearrangements with different partner genes can be found at low frequency in thyroid carcinomas (Cancer Genome Atlas Research Network 2014, Landa et al. 2016). As in other gene rearrangements, the prevalence of ALK fusions seems to be higher in radiation-exposed populations (Hamatani et al. 2012). ALK rearrangements have been found to be associated with PTC, PDTC and ATC, but are absent in FTC, and occur in an exclusive manner with regards to other driver mutations activating MAPK signaling, suggesting that they are sufficient to trigger thyroid tumorigenesis. A common ALK fusion, STRN/ALK, leads to the activation of ALK and MEK-ERK, and increases cell proliferation and transformation in vitro and in vivo (Kelly et al. 2014), supporting the role of ALK rearrangements as early drivers of thyroid carcinogenesis. Its association with an aggressive phenotype is, however, not clear. While some authors have reported ALK fusions with higher prevalence in PDTC and ATC as compared with PTC (Kelly et al. 2014), others were unable to find any ALK translocation in different types of aggressive thyroid carcinomas (Chou et al. 2015). ALK-activating point mutations have also been found in ATC tumor samples, leading to constitutive kinase activity, MAPK and PI3K activation and transformation when expressed in NIH3T3 cells (Murugan & Xing 2011).

Several tyrosine kinase inhibitors targeting ALK kinase activity have been developed with encouraging results in clinical trials, although responses were not durable due to the aforementioned resistance phenomena. Nevertheless, in a case study of a patient with ATC harboring an ALK rearrangement, the ALK inhibitor crizotinib yielded a remarkable response with a 90% reduction of metastatic lung lesions (Godbert et al. 2015).

**RAS oncogenes**

RAS proteins are small GTPases that coordinate many different signaling processes of the cell via activation of a broad range of effectors. They normally cycle between a GTP-bound active state, promoted by GEFs and a GDP-bound inactive state, which is facilitated by the stimulation of GTP hydrolysis by GTPase-activating proteins (GAPs) (Bos et al. 2007). Once activated, RAS recruits downstream effector proteins, promoting their activation. At least 11 RAS effector families have been described so far, and functional studies have indicated that 6 of them contribute to RAS-dependent cancer initiation or maintenance. The most studied and the most commonly related to thyroid carcinogenesis are the RAF kinases, which activate MEK and ERK. The high frequency of mutations in BRAF and the exclusivity of mutations activating RAS and BRAF strongly suggest that BRAF is a key driver of RAS-driven carcinogenesis. However, BRAF activation does not simply mimic the activation of RAS, indicating that activation of other RAS effectors plays a role in RAS oncogenic activity. The second most validated RAS effectors are p110 catalytic subunits (α, β and γ subunits) of class1 PI3Ks, which have been proven to be essential for RAS-driven tumorigenesis in some tumor types (Gupta et al. 2007). Other RAS effectors related to RAS-driven carcinogenesis include RAL (Ras-like protein), RAC1 (Ras-related C3 botulinum toxin substrate 1) and RASSF1 (Ras association domain family 1).

The RAS family comprises three main isoforms, namely H, K and NRAS, encoded by separate genes. All RAS isoforms present a highly conserved domain structure that includes the switch 1 and switch 2 regions, which are involved in the activation state of the protein, and an effector domain that is involved in the interaction with effector proteins. As its name suggests, the C-terminal hypervariable region (HVR), which is responsible for membrane binding, largely differs between isoforms and is thought to determine functional isoform specificity. Accordingly, it has been reported that the different isoforms are tethered to specific domains of the plasma membrane and to different cytoplasmic organelles, potentially allowing signaling specificity for nearby effectors (Prior & Hancock 2012). Their high homology initially suggested overlapping functions for RAS isoforms; however, early reports already pointed to specialized functions for each different isoform. The most striking example of this is the finding that although single H and NRas and double knockout mice develop normally, KRas-knockout mice are not viable (Umanoff et al. 1995, Koera et al. 1997, Esteban et al. 2001).

RAS is the most frequently mutated oncogene in cancer and is activated in one-third of all human tumors (Bos 1988). RAS proteins can be activated by single base missense mutations, usually at the residues G12, G13 or Q61 (in 98% of the cases), which impairs intrinsic and GAP-stimulated GTP hydrolysis and favors the formation of persistently GTP-bound RAS and constitutive activation. Many tumor types seem to be associated with mutations in a specific RAS gene. Accordingly, KRAS is the most frequently mutated isoform in human carcinomas (86% of RAS-driven carcinomas) and is...
especially common in carcinomas of endocrine origin, followed by NRAS (11% of RAS-driven cancers), which is associated more with hematopoietic tumors, and finally HRAS, which is relatively rare (3% of RAS-driven cancers). Interestingly, each isoform has a distinctive codon mutation signature that is similarly associated with specific tissue and tumor types (Prior et al. 2012). Although the functional differences between the RAS isoforms remain poorly understood, it has been reported that activation of downstream effectors as well as the regulation of cellular processes differ between isoforms. For example, KRAS is more prone to activate the protein kinase CRAF and the GTP-binding protein RAC, whereas HRAS more potently activates PI3K (Yan et al. 1998, Walsh & Bar-Sagi 2001). This preferential activation is reflected in the consequent physiological responses they activate: KRAS induces proliferation while NRAS has been shown to confer apoptosis resistance in a mouse model of colon carcinoma (Haigis et al. 2008).

The relationship between RAS mutations and thyroid cancer was established almost 30 years ago (Suarez et al. 1988). RAS mutations can be found in all stages of differentiation in thyroid tumors, from benign thyroid lesions such as follicular adenomas, to WDTC such as FTC and FV-PTC, as well as in the aggressive PDTC and ATC forms. The high frequency of RAS mutations in follicular adenomas suggests a role for aberrant RAS activation as an early event in thyroid tumorigenesis (Esapa et al. 1999). Several lines of evidence indicate that follicular adenomas harboring RAS mutations are the precursor lesions of FTC, with RAS activating point mutations as the most frequent genetic lesions. However, these events are less frequent in PTC and are almost exclusively associated with the FV-PTC form (Zhu et al. 2003). RAS mutations are very frequent in PDTC and ATC, supporting a role for RAS in the progression to aggressive forms of thyroid carcinomas. ATCs accumulate additional mutations such as TPS3 mutations, which confer aggressiveness, while at the same time maintaining RAS mutations. Co-occurrence of RAS and TERT (telomerase reverse transcriptase) promoter mutations is also frequent in ATC, while RAS and EIF1AX mutations are frequently found together both in PDTC and ATC. In both cases, these additional mutations are associated with a worse prognosis than for tumors harboring only RAS mutations (Landa et al. 2016). Interestingly, oncogenic RAS expression in PCCl3 cells induces genomic instability that could favor the accumulation of additional mutations, allowing progression to advanced thyroid carcinomas (Saavedra et al. 2000). Altogether, these findings suggest that RAS mutations predispose to malignancy and progression to aggressive undifferentiated tumors. The impact of RAS mutations in thyroid carcinomas is represented in Fig. 4.

While other tumor types are usually associated with mutations in a specific RAS isoform, mutations in all RAS isoforms can be detected in thyroid tumors, with NRAS mutations at codon 61 being by far the most frequent (Vasko et al. 2003). Whereas oncogenic KRAS and HRAS expression in the thyroid of transgenic mice fails to recapitulate human thyroid tumorigenesis, and presents only minor alterations such as mild proliferation, the equivalent expression of oncogenic NRAS generates follicular adenomas that progress to FTC and PDTC with...
distant metastases (Vitagliano et al. 2006). Overall, these results underscore the role of the NRAS isoform in thyroid tumorigenesis.

Given the importance of RAS as a driver of human carcinogenesis, great efforts have been made in the last three decades to impair oncogenic RAS signaling. Although many different strategies have been developed, RAS is still traditionally considered a ‘non-druggable’ target. Accordingly, GTP competitors were found to be ineffective due to the high affinity of the protein for GTP and the high ratio of GTP to GDP in the cell. Ras binding to the inner part of the membrane is required for its activation and is promoted by addition of farnesyl groups in the HVR region of the protein. The enzyme responsible for Ras farnesylation has been characterized; however, the use of drugs inhibiting farnesyl transferase was also ineffective since alternative mechanisms can result in RAS membrane anchoring. Because of this, current strategies are focused on the inhibition of downstream effectors of the pathway, such as BRAF and MEK (Cox et al. 2014).

**RAF family**

RAF proteins are a family of serine/threonine kinases that link RAS to the activation of the MAPK pathway. RAF1 (or CRAF) was the first family member to be described as a homolog of the viral RAF oncogene (Rapp et al. 1983). Subsequently, two other mammalian paralogues were identified and named BRAF (Ikawa et al. 1988) and ARAF (Huleihel et al. 1986). Upstream activation of RAF is elicited by RAS proteins, although additional factors are required for RAF maturation and activation. RAS-GTP associates with RAF and promotes RAF anchoring to the plasma membrane, a key step for RAF activation. Other proteins are also required to tether together the MAPK components, such as KSR (kinase suppressor of Ras) and are required for RAF activation. RAS binding and membrane recruitment induces RAF dimerization and phosphorylation in several residues that are important for its activation, but the mechanism remains poorly understood. Although homodimers can be formed, the BRAF/CRAF heterodimer shows the highest activity. Catalytically inactive BRAF can also promote signaling through dimerization with CRAF, which is relevant to therapies directed against BRAF kinase activity. BRAF shows a higher basal kinase activity than CRAF and ARAF, which require an additional phosphorylation at their N-terminal domains for full activation. RAF proteins activate MEK1 and MEK2 by phosphorylating their activation loops (Lavoie & Therrien 2015).

**BRAF** mutations have been found in a wide variety of tumor types and occur with high frequency in metastatic melanoma and PTC. Oncogenic CRAF activation has also been reported, albeit at a lower rate, and ARAF mutations are very rare in human tumors. The most common BRAF mutation, accounting for 95% of the total, is a V600E amino acid substitution (BRAF<sup>V600E</sup>) in the activation loop, promoting constitutive activation independent of RAS and with no requirement for dimerization. The V600E mutation also increases the kinase activity up to 500-fold as compared with wild-type BRAF. In physiological conditions, RAS-ERK signaling is tightly controlled by negative feedback mechanisms. Activated ERK phosphorylates RAF in a series of specific residues leading to impaired RAS binding and disruption of CRAF–BRAF complexes (Dougherty et al. 2005, Ritt et al. 2010). As mutant BRAF<sup>V600E</sup> signals as a monomer, the negative feedback loop is inactive and leads to an increased signaling output of the pathway.

BRAF<sup>V600E</sup> is the most common mutation in thyroid tumors. It has been detected in PTC, PDTC and ATC, but it is absent in FTC and in benign lesions. The frequency of BRAF mutations varies among the different subtypes of PTC; it is highly frequent in TCV-PTC and classic PTC but rare in FV-PTC. The presence of BRAF mutations in papillary microcarcinomas (Nikiforova et al. 2003) suggests that it is an initiating event in thyroid tumorigenesis. Furthermore, BRAF mutations rarely overlap with other driver oncogenes such as RAS or RET/PTC (Kimura et al. 2003, Soares et al. 2003). The role of BRAF in thyroid transformation has been clearly established both in vitro and in vivo. BRAF<sup>V600E</sup> conditional expression in rat thyroid cells induces DNA synthesis, apoptosis, dedifferentiation and chromosomal instability (Mitsutake et al. 2005), whereas BRAF<sup>V600E</sup> expression in the thyroid of transgenic mice promotes PTC that dedifferentiates to PDTC (Knauf et al. 2005). A role for BRAF mutations in the progression to undifferentiated carcinomas is also suggested by the co-existence of PTC and PDTC or ATC regions in the same tumor, both expressing mutant BRAF (Nikiforova et al. 2003).

BRAF mutations are associated with poor prognostic factors such as extrathyroidal extension, lymph node metastases, advanced stage, greater predilection to develop iodine-131 resistance and recurrent disease (Xing et al. 2013, 2015). Different studies have shown that BRAF<sup>V600E</sup> expression correlates with an increase in processes related to metastasis such as invasion, angiogenesis or extracellular matrix remodeling. Moreover, silencing of several tumor
suppressor genes by promoter methylation is increased in BRAFV600E-harboring tumors, including TIMP3 (tissue inhibitor of matrix metalloproteinase-3), DAPK (death-associated protein kinase) or RARβ2 (retinoic acid receptor β2) (Hu et al. 2006, Hou et al. 2011). In addition, BRAFV600E expression can induce dedifferentiation of thyroid cells in vitro, with loss of expression of NIS, TG and TPO (Mitsutake et al. 2005, Riesco-Eizaguirre et al. 2006), which are also reduced in human PTC harboring BRAFV600E (Durante et al. 2007). Data from the TCGA network surveying a large panel of PTC confirmed that PTC with BRAFV600E mutations are associated with a dedifferentiation state and higher ERK activation than tumors harboring RAS mutations. This sustained and high flux through the pathway has important consequences for the behavior of the tumor. For instance, co-occurrence of BRAF and TERT promoter mutations synergistically increase the aggressiveness of the tumor. The TERT gene codes for the reverse transcriptase of the telomerase complex, which participates in maintaining the length of telomere ends required for continuous cell replication and immortalization (Nakamura et al. 1997). Increased TERT activity has been reported in different types of cancer. Two frequent and mutually exclusive mutations in the TERT promoter, C>T at –124bp (termed C228T) and C>T at –146bp (termed C250T) upstream from the translation start site were initially described in melanoma and recently in other carcinomas such as thyroid cancer. These mutations promote a 2- to 4-fold increase in the expression of TERT, ostensibly due to the generation of de novo binding sites for ETS transcription factors downstream of the MAPK pathway (Horn et al. 2013, Huang et al. 2013). TERT promoter mutations are found at a relatively low prevalence in PTC (around 11%), but this increases in metastatic PTC, PDTC (30–40%) and ATC (30–70%) (Landa et al. 2013, Liu et al. 2013, Vinagre et al. 2013). Interestingly, TERT promoter mutations have been reported at a high frequency in distant but not in lymph node metastases, and when found together with BRAF mutations, especially in advanced thyroid carcinomas, they associate with more aggressive clinicopathological features than single BRAF or TERT mutations (Shi et al. 2015, Melo et al. 2017).

Other BRAF point mutations have been described at a much lower prevalence. For example, the K601E amino acid substitution has been detected in benign thyroid adenomas (Soares et al. 2003) and FV-PTC (Trovisco et al. 2004). Interestingly, tumors bearing BRAFK601E show a RAS-like behavior (Cancer Genome Atlas Research Network 2014) and give rise to follicular patterned lesions but do not result in PTC. The mechanism responsible for these differences remains unknown.

In addition to point mutations, BRAF activation by intrachromosomal inversion with the AKAP9 gene is reported to be related to radiation-induced PTC. Chromosomal translocation generates a constitutively active chimeric protein that maintains the kinase domain of BRAF but lacks the N-terminal autoinhibitory domain (Ciampi et al. 2005). BRAF rearrangements with different fusion genes have also been reported by The Cancer Genome Atlas project (Cancer Genome Atlas Research Network 2014).

Given the high prevalence of BRAF-activating mutations in human tumors, great efforts have been made in developing drugs that target BRAF. Initially described as a BRAF inhibitor, sorafenib was subsequently found to inhibit many other tyrosine kinases such as CRAF, VEGF (vascular endothelial growth factor) 1 and 3 receptors, PDGF (platelet-derived growth factor) receptor or RET kinases. Indeed, sorafenib was the first multikinase inhibitor approved by the FDA for the treatment of advanced thyroid cancer based on a phase III clinical trial that reported a significant increase in progression-free survival: 10.8 months in the sorafenib group vs 5.8 months in the placebo group (Brose et al. 2014). However, the development of resistance after 1 or 2 years is almost inevitable in the majority of patients who show partial response or stabilization of the disease.

Lenvatinib is a multitargeted tyrosine kinase inhibitor of VEGF 1–3 receptors and other pro-oncogenic receptors including FGF (fibroblast growth factor) 1–4 receptors, PDGF receptor alpha and RET and KIT receptors. Lenvatinib is indicated in the EU and the USA for the treatment of locally recurrent or metastatic progressive, radioiodine-refractory differentiated thyroid cancer. Results of a phase III clinical study showed that lenvatinib improved progression-free survival and overall response rate compared with placebo (Schlumberger et al. 2015).

The effectiveness of drugs targeting different components of the MAPK pathway is largely dependent on their ability to inhibit ERK phosphorylation in a sustained manner. The TCGA project revealed that RAS and BRAF mutations confer distinct features to the tumor cells. Signaling through the pathway is higher and more durable in BRAF than in RAS mutant tumors, ostensibly due to the lack of action of negative feedback regulatory circuits in the former (Cancer Genome Atlas Research Network 2014). This has an impact in the response to
drugs aimed to impair signaling through the MAPK pathway. Accordingly, MEK tyrosine kinase inhibition by selumetinib was shown to restore or increase iodine uptake in metastatic lesions of patients with advanced thyroid cancer refractory to radioiodine treatment, having greater effectiveness in patients with NRAS mutations than in those with BRAF mutations (Ho et al. 2013). The authors of this study posited that a greater effect could be achieved with a stronger and more sustained inhibition of MAPK signaling. This was proved to be correct by the same authors in a recent study showing that CKI (CH5126766), an allosteric MEK inhibitor that achieves a more prolonged inhibition of the pathway by preventing MEK phosphorylation, yielded greater iodine uptake in mice with BRAFV600E-driven thyroid tumors (Nagarajah et al. 2016).

A representation of oncogenic BRAFV600E signaling in thyroid carcinomas is shown in Fig. 5.

**The PI3K pathway**

The PI3K family constitutes a large family of conserved proteins that control a myriad of cellular processes such as cell growth, proliferation, survival and motility (Cantley 2002). While many different systems of activation of PI3K exist, which are dependent on the class and the PI3K variant, the canonical activation of the pathway can be described as follows: in the absence of upstream activators, binding of the regulatory subunit maintains the catalytic subunit in a basal state; receptor activation promotes autophosphorylation of tyrosine residues that are recognized by adaptor proteins such as IRS (insulin receptor substrate) 1 and 2, recruiting PI3K heterodimers close to their lipid substrates in the plasma membrane. PI3Ks transmit their signal by phosphorylating phosphatidylinositol-4,5-bisphosphate to generate phosphatidylinositol-3,4,5-trisphosphate (PIP3), a plasma membrane lipid second messenger that promotes activation of proteins with pleckstrin homology (PH) domains such as PDK1 (phosphoinositide-dependent kinase 1) or AKT. Different phosphatases exercise negative control of the pathway, especially PTEN (phosphatase and tensin homolog deleted on chromosome 10), which opposes PI3K function by promoting PIP3 dephosphorylation. The mechanism of activation of the PI3K pathway is depicted in Fig. 6. Deregulation of the pathway has been associated with various diseases including cancer. In thyroid cancer, mutations in different components of the PI3K pathway are rare in WDTC but have a remarkable prevalence in PDTC and ATC, suggesting a role for PI3K activation in the progression to undifferentiated carcinomas.

**The PI3K family of proteins**

There are three different classes of PI3K proteins categorized by their lipid substrate specificity and the sequence homology of the catalytic subunit. Class 1 is the most studied and comprises two different subclasses. Class 1A proteins are heterodimers formed by the p110α, p110β or p110δ catalytic subunits and one of five different p85 regulatory subunits (p85α, p55α, p50α, p85γ and p55γ) (Vanhaesebroeck et al. 2010). They are activated by tyrosine kinase receptors, although p110β can also be activated by G protein-coupled receptors. Class 1B protein
consists of a p110α catalytic subunit that binds to a p101 regulatory subunit and is activated by G protein-coupled receptors. p110α and β are ubiquitously expressed while p110β and γ are enriched in leukocytes. In addition, Class 1 PI3Ks have a RAS-binding domain that allows activation by RAS. Mice with mutations in the RAS-binding domain of p110α die perinatally and the small number that survive show increased resistance to oncogenic RAS-induced tumorigenesis, revealing that RAS is essential for both physiological and pathological functions of PI3K (Gupta et al. 2007). Class 3 PI3Ks are formed by a single member Vps34 (vacuolar protein sorting 34) that is involved in the regulation of vesicle trafficking. Class 2 is composed of three monomeric members and their function remains poorly understood.

The Class 1 regulatory subunit is able to bind phosphotyrosine residues on activated membrane receptors, allowing membrane localization of the catalytic subunit that phosphorylates the 3-OH of the phosphatidylinositol ring. This produces the lipid second messenger PIP3, which triggers the activation of downstream effectors with PH domains such as AKT, PDK1, Tec family of proteins including BTKs (Bruton’s tyrosine kinase), the ARF GEFs of the cytohesin family and several other GEFs and GAPs of the RAS family of GTPases.

Among the PI3Ks, Class IA enzymes have been primarily associated with cancer development. Somatic mutations in PIK3CA, the gene that encodes for the catalytic subunit p110α, are frequent in human tumors (Samuels et al. 2004) such as colorectal, gastric, brain or breast tumors. Mutations are especially common in the helical domain, which relieves inhibition by the regulatory subunit, and in the kinase domain, which increases p110α retention at the plasma membrane (Burke et al. 2012). Mutations in different regulatory subunits can also be found that promote constitutive activation of the catalytic subunit. Conversely, although other members of Class IA PI3Ks are not found to be mutated, amplifications in human cancer are very common. A role for p110β in tumorigenesis is beginning to emerge since this isoform seems to be the main producer of PIP3 in PTEN-deficient tumors (Wee et al. 2008).

In thyroid cancer, somatic mutations of PIK3CA are rare in PTC but relatively common in FTC, PDTC and ATC (Garcia-Rostan et al. 2005). Furthermore, genomic copy gain of PIK3CA has also been frequently observed in cancer. This associates with increased expression of the protein and activation of downstream effectors including AKT, establishing that amplification of the gene has an impact in the signaling output of the pathway (Shayesteh et al. 1999). Amplifications of PIK3CA are also particularly frequent in FTC, PDTC and ATC, but rare in PTC (Wu et al. 2005). Interestingly, PIK3CA mutations and amplifications are usually mutually exclusive, also with mutations in other components of the pathway (Wang et al. 2007), underscoring the importance of this signaling pathway in thyroid tumorigenesis. Moreover, the rate of mutations and amplifications of PIK3CA increases in the most aggressive thyroid tumors, pointing to a role for these genetic events in the progression to undifferentiated forms of thyroid cancer. In addition, PIK3CA mutations can be observed in metastatic cells from PDTC and ATC (Wang et al. 2007). Accordingly, in ATC, PIK3CA mutations were found to be more frequent in the less differentiated region of the tumor. In a recent study using next-generation sequencing of 341 cancer genes from 117 patient-derived PDTCs and ATCs, mutations in different catalytic and regulatory PI3K subunits at a low prevalence were described, indicating that aberrant activation of other PI3K isoforms could have a role in the progression to undifferentiated forms of thyroid cancer (Landa et al. 2016).

PTEN

PTEN is a dual protein and lipid phosphatase that promotes dephosphorylation of PIP3 on the 3’ position
of the inositol ring and therefore switches-off PI3K signaling. PTEN is considered a tumor suppressor and its function is frequently disabled in human tumors (Li et al. 1997, Steck et al. 1997). The link between aberrant PI3K signaling and thyroid cancer was first established in patients with Cowden disease, a congenital disorder that causes predisposition to multiple forms of cancer, especially breast, thyroid and endometrium. Eighty percent of the patients with this syndrome present germ-line mutations in PTEN, leading to impaired function or decreased expression of the resultant protein. Interestingly, around 10% of the patients develop thyroid cancer, mostly FTC, highlighting the importance of PI3K activation for the development of this particular variant of thyroid cancer. Full PTEN inactivation in transgenic mice results in early embryonic lethality, whereas heterozygous Pten knockout mice spontaneously develop thyroid tumors (Di Cristofano et al. 1998). Loss of Pten in the thyroid of transgenic mice results in the early development of diffuse colloid goiter and the later onset of follicular adenomas (Yeager et al. 2007), indicating that PI3K pathway activation is not sufficient to trigger thyroid malignant transformation. Sporadic point mutations or deletions in PTEN in thyroid cancer are found at a low incidence in well-differentiated tumors (Dahia et al. 1997, Halachmi et al. 1998, Hsieh et al. 2000), but are remarkably common in ATC (Landa et al. 2016), suggesting a role for PTEN loss of function in the progression to undifferentiated thyroid cancer. In general, mutations in the PI3K pathway are particularly frequent in undifferentiated thyroid cancer and often co-exist with alterations in the MAPK pathway. A reduction in the expression of the protein is comparatively much more frequent, and hypermethylation of the PTEN promoter has been described to be common in thyroid tumors, indicating that epigenetic mechanisms rather than genetic alterations are responsible for the loss of expression of PTEN in thyroid tumors (Alvarez-Nunez et al. 2006).

**Downstream of PI3K: PDK1, AKT and mTOR**

PIP3 generation by PI3K promotes membrane localization of PH domain-containing proteins such as PDK1 and AKT. Binding of AKT to PIP3 in the membrane induce a conformational change in the structure of the protein that allows the two phosphorylation events required for AKT activation: PDK1 phosphorylates AKT at T308, followed by mTOR (mechanistic target of rapamycin) C2 phosphorylation of S473 (Sarbassov et al. 2005).

The AKT family of serine/threonine kinases is formed by three members encoded by three individual genes. AKT1 and 2 are ubiquitously expressed while AKT3 is largely expressed in brain, heart and kidney. All the isoforms present high homology in their catalytic domain but differ in their regulatory and PH domains. The three isoforms present common upstream regulators and downstream effectors, and it was initially thought that they perform overlapping functions. However, the different phenotypes of isoform-specific knockout mice indicated that they also fulfill specific tasks (Chen et al. 2001, Cho et al. 2001, Tschopp et al. 2005). AKT is the main mediator of PI3K signaling and is therefore involved in the control of many different processes in the cell, in both physiological and pathological contexts (Toker & Marmiroli 2014). One of the main AKT effectors responsible for mediating cell growth and control of metabolism is mTOR, a serine/threonine kinase that exists in two different complexes with different upstream regulation and downstream effectors, different functions and distinct sensitivity to inhibition by rapamycin. As mentioned previously, the mTORC2 or mTOR–Rictor complex is the kinase responsible for AKT S473 phosphorylation while the mTORC1 or mTOR–Raptor complex is activated by AKT by relieving TSC (tuberin sclerosis protein complex) 1 and 2-mediated mTOR inhibition, allowing protein translation and cell growth. This is the best described function for mTOR and it is essential for PI3K pathway-induced tumorigenesis (Laplante & Sabatini 2012). Other important AKT effectors include the FOXO (forkhead box O) family of transcription factors, which are negatively regulated by AKT phosphorylation to promote cell growth. In rat thyroid-derived cells, FoxO1 is negatively regulated by thyrotropin and IGF1 and its expression in tumor cells leads to a decrease in their proliferation rate (Zaballos & Santisteban 2013).

Overall, mutations in PDK1, AKT or mTOR are uncommon, although in specific types of tumors (e.g., breast cancer) a remarkable 20% incidence of AKT mutations has been reported. In PDTC and ATC, mutations of AKT, mTOR and TSC1/2 at a low prevalence have been recently reported (Landa et al. 2016). AKT amplification has not been fully demonstrated in thyroid carcinomas, although both PDK1 and AKT1/2 amplifications have been reported in FTC and ATC (Liu et al. 2008). However, since AKT and mTOR function as essential mediators of PI3K pathway-driven tumorigenesis, they have consequently emerged as attractive drug targets for the treatment of thyroid cancer.
Several drugs that target different components of the PI3K pathway have been tested in preclinical studies using human thyroid tumor-derived cell lines and thyroid cancer animal models. A TRβPV/PPV mutant thyroid hormone receptor mouse model that spontaneously develops FTC presented increased PI3K/AKT activation and treatment with a PI3K inhibitor delayed tumor progression and metastatic spread (Furuya et al. 2007). Also, the mTORC1 inhibitor everolimus was found to decrease thyroid tumor growth in a TRβPV/PPV/Pten+/− mouse model, although it had no effect on the development of lung metastases (Guigon et al. 2010). Moreover, a recent phase II clinical trial tested the effects of everolimus for the treatment of locally advanced or metastatic thyroid carcinoma. The AKT inhibitor MK2206 was also shown to reduce the number of metastatic lung colonies (Burrows et al. 2011). The AKT inhibitor MK2206 was also shown to reduce growth of human thyroid tumor-derived cell lines with particular sensitivity toward cells harboring mutations that activate the PI3K pathway (Liu et al. 2011).

The main mutations of the PI3K pathway related to thyroid carcinogenesis are represented in Fig. 7.

### PAX8/PPARγ rearrangements

Following RAS point mutations, the PAX8/PPARγ gene fusion is the most frequent genetic lesion associated with FTC (30−35%). It has also been detected in FV-PTC and ATA at lower frequencies (Placzkowski et al. 2008). The PAX8/PPARγ fusion is the result of a chromosomal translocation (t(2;3) (q13;p25)) that generates a chimeric protein composed of PAX8, minus the C-terminal catalytic domain, fused to full-length peroxisome proliferator-activated receptor gamma (PPARγ) (Kroll et al. 2000). Although PPARγ is expressed at low levels in thyroid tissue, an increased expression of the fusion protein driven by the PAX8 promoter has been detected in thyroid cells (Kroll et al. 2000). A physiological role for PPARγ in thyroid cells has not been described and the mechanisms underlying oncogenic activity of the fusion protein are poorly understood. Some evidence suggests an antagonistic effect of the fusion protein on the activation of PPARγ-responsive promoters, and there are also clues that point to a role for PPARγ as a tumor suppressor gene, which could explain the oncogenic activity of the fusion protein (Kroll et al. 2000). Interestingly, PPARγ has been shown to act as a transcription factor to promote PTEN transcription, thereby indirectly activating PI3K signaling (Patel et al. 2001). Consistent with its oncogenic potential, expression of PAX8/PPARγ in human thyroid-derived cells promotes increased growth rate, decreased apoptosis and confers anchorage-independent cell growth (Gregory Powell et al. 2004).

PAX8/PPARγ expression in the thyroid of transgenic mice does not lead to the development of thyroid
cancerous, although in a heterozygous PTEN background mice present metastatic thyroid carcinoma (Dobson et al. 2011), indicating that additional genetic events are required for a full transforming phenotype. Interestingly, administration of the PPARγ agonist pioglitazone to these mice resulted in a reduction in tumor size and prevention of metastasis. This finding suggests that pioglitazone could have therapeutic potential in patients with thyroid tumors positive for PAX8/PPARγ fusion protein.

Concluding remarks

Over the last years, the spectrum of genetic lesions associated with the different types of thyroid carcinomas has been greatly broadened. Knowledge of the signaling pathways activated in both physiological and pathological conditions and the complex intracellular network connecting them has facilitated our understanding of thyroid cancer etiology, which has paved the way for the first trials of a small kinase inhibitor-based therapy. The initial results of this genetic-dependent therapy have been encouraging, but are hampered by the emergence of diverse resistance mechanisms. Thus, future efforts should focus on overcoming drug resistance using combinatorial approaches while maintaining a tolerable toxicity profile. For this to succeed, some crucial aspects such as isoform-specific functions or the crosstalk between the MAPK and PI3K pathways, the principal pathways involved in thyroid tumorigenesis, needs to be clarified.

Declaration of interest

The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of this review.

Funding

Supported by the following grants: GCB14142311CRES from the Spanish Foundation against Cancer (F-AECC), SAF2013-44709-R and SAF2016-75531-R from the Ministry of Economy and Competitiveness, RD12/0036/030 and Ciberonc from the Carlos III Health Institute, (Spain), and the European Regional Development Fund (FEDER).

Acknowledgements

The authors want to thank the kindness of Dr Inés Martín-Lacave, Department of Cytology and Histology, Medical School, University of Seville (Spain) for providing the immunohistochemistry images of thyroid tumors. Also we are grateful to Dr Kenneth McCreathe for helpful comments on the manuscript and language revision.

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Received in final form 3 July 2017
Accepted 4 August 2017